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P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**P. Peterka**

Application No.: **09/716,682**

Filed: **November 20, 2000**

For: **DYNAMIC SECURITY FOR DIGITAL TELEVISION RECEIVERS**

Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on February 20, 2001

By: Michele Hollis  
Michele Hollis

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant wishes to call the attention of the Examiner to the following references:

U.S. PATENT(S) :

<u>Patent No.</u>	<u>Patentee</u>	<u>Date of Issue</u>
5,757,417	Aras et al.	May 26, 1998

FOREIGN PATENT DOCUMENT(S) :

<u>Country</u>	<u>No.</u>	<u>Date of Publication</u>
European	0 909 094	Apr. 14, 1999
European	0 732 850	Sept. 18, 1996
European	0 752 786	Jan. 08, 1997

**PUBLICATION(S) :**

Evain, J.P., "The Multimedia Home Platform," EBU Technical Review, no. 275, March 21, 1998, pp. 4-10.

Thrift, P. et al., "JTV - Java-enabled Television," Proceedings of the SPIE, vol. 3228, November 4, 1997, pp. 117-122.

Cutts, D., "DVB Conditional Access," Electronics & Communication Engineering Journal, vol. 9, no. 1, February 1, 1997, pp. 21-27.

EBU Project Group, "Functional Model of a Conditional Access System," EBU Technical Review, no. 266, December 21, 1995, pp. 64-77.

The references listed above are relevant because they were cited by the examiner in the Search Report (copy enclosed) of Applicant's counterpart international patent application no. PCT/US99/10780 (MPEP §2001.06(a)).

**OTHER PUBLICATION(S) :**

Gong, Li et al., "Going Beyond the Sandbox: An Overview of the New Security Architecture in the Java™ Development Kit 1.2," In Proceedings of the USENIX Symposium on Internet Technologies and Systems, Monterey, California, Dec., 1997.

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Copies of the above-listed references are enclosed, together with a completed form PTO-1449.

This Information Disclosure Statement is being filed within three months of the filing date of the subject patent application, and therefore no fee is due.

Consideration of these references by the Examiner is respectfully requested.

Respectfully submitted,



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Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street, Bldg. 8  
Monroe, CT 06468  
(203) 459-0200

**Date: February 20, 2001**  
**ATTORNEY DOCKET NO.: GIC-535**